Presupposition projection as a scope phenomenon

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LINGUAE seminar, February 25, 2021

Julian Grove (CLASP, U. of Gothenburg) Presupposition projection as a scope phenomenoiLINGUAE seminar, February 25, 2021 1/36

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Karlos brought <u>his car</u>.

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We have linguistic devices that grammatically encode what we take for granted in making an utterance.

- Karlos brought <u>his car</u>.
 - Karlos has a car. (presupposition)

How do we identity presuppositions?

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• family-of-sentence tests (Chierchia and McConnell-Ginet, 2000)

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A compositional account answers two questions:

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 - The "projection problem" (Langendoen and Savin, 1971)

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Outline:

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• Investigate an influential compositional framework for studying presupposition projection: "satisfaction theory" (Geurts, 1996)

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- Presupposition triggers in the scopes of propositional attitude verbs

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A scopal account

Presupposition and propositional attitude verbs

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• Basic ideas come from Heim 1983

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$$e.g., \ = \{ w \in \mathcal{W} \mid \llbracket \Delta \rrbracket^w = 1 \} \cap \{ w \in \mathcal{W} \mid rain w \}$$

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- What is +? (Depends on your more specific theory.)
 - Might amount to set intersection (of sets of worlds, assignments, ...)

• What if the sentence updating Δ has presuppositions?

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 - ► Karlos brought his car ~→ Karlos has a car
Explaining projection behavior: just a matter of using + in the right way.

Karlos has a car, and he brought his car.

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If the airport is nearby, I can pick my sister up when she lands.

Individual updates to Δ:

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If the airport is nearby, I can pick my sister up when she lands.

• Individual updates to Δ :

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* $= \Delta - (\Delta_1 - \Delta_2)$

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$$\star = \Delta - (\Delta_1 - \Delta_2)$$

• (2) \rightsquigarrow if the airport is nearby, I have a sister $\textcircled{\mbox{$\cong$}}$

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• According to the satisfaction theory, filtration is automatic.

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- According to the satisfaction theory, filtration is automatic.
- But sometimes it shouldn't happen.

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The satisfaction theory



Presupposition and propositional attitude verbs

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• $\llbracket his_i car \rrbracket^{w,g}$

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- $\llbracket his_i car \rrbracket^{w,g}$
 - the car of g(i) in *w*, if one exists

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- $\llbracket his_i car \rrbracket^{w,g}$
 - the car of g(i) in *w*, if one exists
 - #, otherwise

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Karlos brought his car.

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Karlos brought his car.

► *Functional Application* (Heim and Kratzer, 1998, p. 105, ex. 13') If α is a branching node and { β , γ } the set of its daughter, then for any assignment *a*, α is in the domain of $\llbracket \cdot \rrbracket^a$ if both β and γ are, and $\llbracket \beta \rrbracket^a$ is a function whose domain contains $\llbracket \gamma \rrbracket^a$. In that case, $\llbracket \alpha \rrbracket^a = \llbracket \beta \rrbracket^a (\llbracket \gamma \rrbracket^a)$.

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- [Karlos brought his_i car]^{w,g}

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Compositional semantics

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 - ★ 1, if g(i) has a car and Karlos brought it (in w)
 - ★ 0, if g(i) has a car and Karlos didn't bring it

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 - * #, if g(i) doesn't have a car

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If Karlos has a car, Karlos brought his car.

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Material Conditional Rule

Given a material conditional, $[[if \phi] \psi]$, and an assignment, *a*, if $[\![\phi]\!]^a = 0$, then $[\![[if \phi] \psi]\!]^a = 1$. If $[\![\phi]\!]^a = 1$ and $[\![\psi]\!]^a$ is defined, then $[\![[if \phi] \psi]\!]^a = [\![\psi]\!]^a$. $[\![[if \phi] \psi]\!]^a$ is undefined if $[\![\phi]\!]^a$ is.

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 - ★ \rightsquigarrow no presupposition ☺

A proviso problem crops up in this setting, as well.

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- If the airport is nearby, I'll pick my sister up when she lands.
 - ▶ [[airport nearby, pick up sister]]^{w,g}

- ★ 1, if [[airport nearby]]^{w,g} = 0, or [[airport nearby]]^{w,g} = 1 and [[pick up sister]]^{w,g} = 1
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- $\star \, \rightsquigarrow$ if the airport is nearby, I have a sister \oplus

Used by Heim and Kratzer (1998) in the analysis of quantifiers

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Every dog slept.

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 - Predicate Abstraction and Functional Application: $\begin{bmatrix} [[every \ dog]_i \ [t_i \ slept]] \end{bmatrix}^g = \begin{bmatrix} every \ dog \end{bmatrix}^g (\lambda x. \llbracket t_i slept \rrbracket^{g[x/i]})$

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• we allow the presupposition trigger to take scope over the conditional filter

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 - Used by Charlow (2020) to account for the exceptional scoping properties of indefinites

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Back to conditionals: cyclic scope-taking



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Back to conditionals: cyclic scope-taking



Back to conditionals: cyclic scope-taking



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• [[[[my sister]_iI'll pick up t_i]_j[if the airport is nearby t_j]]]^{w,g}

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- [[[[my sister]_i I'll pick up t_i]_j[if the airport is nearby t_j]]]^{w,g}
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Conclusion: a freer definition of the "Quantifier Raising" rule allows us to circumvent the proviso problem, provided...
Back to conditionals: interpreting cyclic scope

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 - \neq # iff I have a sister

Conclusion: a freer definition of the "Quantifier Raising" rule allows us to circumvent the proviso problem, provided...

• we have a non-compositional, static analysis of conditionals (and other filters)

The current analysis of conditionals involves a new, syncategorematic rule (The Material Conditional Rule).

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We can do better by sophisticating the type system a little bit.

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 $\mathfrak{T} \coloneqq e \mid t \mid \mathfrak{T} \to \mathfrak{T}$

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- ► E.g., the type *e*[#] is that of something which is either an individual (e.g., Karlos) or undefined (#).
- This move allows us to treat partial functions as total; e.g., a partial function of type e → t is now a total function of type e → t_# that maps the part of its domain on which it is not defined to #.

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A meaning for *if*:

•
$$\llbracket if \rrbracket^{w,g} = \lambda p^{t_{\#}}, q^{t_{\#}}.$$

$$\begin{cases}
1 & p = 0 \\
1 & p = 1 \text{ and } q = 1 \\
0 & p = 1 \text{ and } q = 0 \\
\# & p = \# \\
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\end{cases}$$

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Other meanings can remain unmodified from the simply typed setting, except for those for presupposition triggers.

- $\llbracket his_i \ car \rrbracket^{w,g}$ (now of type $e_{\#}$)
 - the unique car of g(i) in w if one exists; otherwise, #

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In addition, we will introduce two type shifts, which allow for the smooth integration of simple types and Maybe types.

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(Together, $(\cdot)^{\eta}$ and $(\cdot)^{\gg}$ constitute a monad.)

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Scoping out the consequent



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Scoping out the consequent



Scoping out the consequent



For examples like

- If Karlos has a car, he brought his car.
- we simply don't scope the consequent clause above the filter, allowing presupposition satisfaction to go through.

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• Allowing a presupposition trigger to take scope past a filter causes its presupposition to project, even with an interpretation strategy as simple as that of Heim and Kratzer (1998).

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- Allowing a presupposition trigger to take scope past a filter causes its presupposition to project, even with an interpretation strategy as simple as that of Heim and Kratzer (1998).
- Introducing Maybe types allows the system to be fully compositional.
- The foregoing analysis of filters is static, but making it dynamic (more straightforwardly in line with Heim (1983)) is a matter of further enriching the types (as done by, e.g., Rothschild (2011)).

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The satisfaction theory

2 A scopal account



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Ashley believes her car is in the parking lot.

- Ashley believes her car is in the parking lot.
 - ► → Ashley has a car

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• New types: $\mathcal{T} := e \mid s \mid t \mid \mathcal{T} \rightarrow \mathcal{T} \mid \mathcal{T}_{\#}$

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Incorporating intensionality

To analyze such examples, we can add a new atomic *s* type to make our system intensional.

• New types: $\mathfrak{T} := e \mid s \mid t \mid \mathfrak{T} \rightarrow \mathfrak{T} \mid \mathfrak{T}_{\#}$

Propositions, in this setting, are functions of type $s \rightarrow t_{\#}$.

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Propositions, in this setting, are functions of type $s \rightarrow t_{\#}$.

• $\llbracket Karlos brought his car \rrbracket =$ $\lambda w^{s} \begin{bmatrix} 1 & Karlos has a car and brought it \\ 0 & Karlos has a car and didn't bring it \\ \# & Karlos doesn't have a car \end{bmatrix}$

Propositional attitude verbs

٩	$\llbracket believes \rrbracket = \lambda p^{s \to t_{\#}}, x^e, w^s. \forall w'^s : \mathbf{acc}_{w,x}(w') \Rightarrow p(w')$						
						$\{\llbracket \varphi \rrbracket_{\mathcal{M},g'} \mid g[x]g'\}$	$\llbracket [\forall x : \phi] \rrbracket_{\mathcal{M},g}$
						{1}	1
		\Rightarrow	1	0	#	{0}	0
		1	1	0	#	{#}	#
		0	1	1	1	{ 1 , 0 }	0
		#	#	#	#	{ 1 , # }	#
						{ 0 , #}	#
						{ 1 , 0 , # }	#

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Propositional attitude verbs



• Presupposition failure results if the presupposition fails to hold at some accessible world. (Inaccessible worlds don't matter.)

Propositional attitude filtering

Ashley believes her car is in the parking lot.

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- Ashley believes her car is in the parking lot.
 - Taking the meaning of the embedded clause for granted:

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Propositional attitude filtering

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►
$$\lambda w^s$$
. $\forall w'^s$: $\mathbf{acc}_{w, \text{Ashley}}(w') \Rightarrow$

- $\begin{cases} 1 & \text{Ashley has a car, and it's in the lot in} w' \\ 0 & \text{Ashley has a car, and it's not in the lot in} inw' \\ \# & \text{Ashley doesn't have a car in} w' \end{cases}$

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- Defined at any world w such that $\forall w'^{s} : \mathbf{acc}_{w, Ashley} \Rightarrow Ashley has a car in w'$

What happens if we allow the embedded clause (and thus its presupposition trigger) to take scope?

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• To do so, we need to upgrade our type-shifts to the intensional setting.

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 ('bind')
• $m^{\gg} = \lambda f^{\alpha \to s \to \beta_{\#}}, w^{s} \cdot \begin{cases} \# & m(w) = \# \\ f(a)(w) & m(w) = a \end{cases}$





The result evaluates to:

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The result evaluates to:

•
$$\llbracket her \ car \rrbracket^{\infty}(\lambda i^e, w^s. \forall w'^s : \mathbf{acc}_{w, \text{Ashley}}(w') \Rightarrow \mathbf{in}(\mathbf{lot})(i)(w'))$$

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- The result evaluates to:
 - ► $\llbracket her car \rrbracket^{\gg}(\lambda i^e, w^s. \forall w'^s : \mathbf{acc}_{w, Ashley}(w') \Rightarrow \mathbf{in}(\mathbf{lot})(i)(w'))$
 - For any world w:



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 - \star 1, if Ashley has a car in w and believes in w that it's in the parking lot
 - \star 0, if Ashley has a car in w and believes in w that it's not in the parking lot



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 - $\llbracket her \ car \rrbracket^{\gg}(\lambda i^e, w^s. \forall w'^s : \mathbf{acc}_{w, Ashley}(w') \Rightarrow \mathbf{in}(\mathbf{lot})(i)(w'))$
 - For any world w:
 - * 1, if Ashley has a car in w and believes in w that it's in the parking lot
 - * 0, if Ashley has a car in w and believes in w that it's not in the parking lot
 - \star #, if Ashley doesn't have a car in w

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(B) < (B)</p>

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- These tools, with minor extensions, allow us to describe a rich array of projection behaviors: problems of automatic filtration are overcome by allowing presupposition triggers to take scope.
- Could a scopal-mechanism be incorporated into pragmatic alternatives to the satisfaction account (Schlenker, 2008, 2009, 2010)?

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